

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bergmann et al

Serial No.: 09/995,178

Group Art Unit: 3693

Filing Date: 11/27/2001

Examiner: Dass, Harish T.

Title: Method of Ascertaining an Efficient Frontier for Tax-Sensitive Investors

Monday, March 17, 2008

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE accompanying Request for Continued Examination

Dear Sir:

In response to the Office Action mailed 11/16/2006, Applicants hereby request the following amendments be entered and that the Remarks be considered. Accordingly, together with an RCE, enclosed are:

1. Amendments to the Claims (pages 2 to 6);
2. Declaration of Prior Invention in the United States to overcome Patent Publication (37 CFR 131) (34 pages total), and
3. Remarks.